

II. AMENDMENTS TO THE SPECIFICATION

Please accept amendments to the paragraph beginning on p. 19 of the specification as follows:

Fig. 5 depicts a fourth page 130 of the template that includes purpose section 132, and control point procedure section 134. Purpose section 132 allows the control point author to state the identified risk or control point. For example, purpose field 132 might indicate, “individuals approving payments are signing checks.” Control point procedure section 134 includes information access field 136 and control point process field 138. Information access field 136 indicates where information pertinent to the control point can be obtained. For example, information access field 136 could indicate where the employee identification numbers could be found. Preferably, information access field 136 includes a hypertext link(s) that allows direct access to pertinent information. Control point process field 138 includes a test field 140 for arranging the set of tests to be performed on the business process for identifying risks. For example, test field 140 could indicate the tests: (1) compare identification number of individual approving payment to identification number of individual signing the check; and (2) compare name of individual approving payment to name of individual signing the check. As ~~state~~ stated above, the set of tests could include one or more tests. Accordingly, test field 140 allows for many tests to be indicated. Test execution field 142 is for arranging the test entity responsible for carrying out the indicated set of tests. This could be indicated based on particular individuals, management levels, etc. As indicated above, the test entity can be one individual, a group of individuals, or an expert system. Moreover, one test entity need not be responsible for

carrying out the entire set of tests. For example, each test in the set could have a different executing entity.